

Company or Trust in which investment is held – THIS MUST BE COMPLETED
 (The full name of the Share Company or Trust in which the securities being transferred are held)

Full Name(s) of Registered Holding

(Enter the given and last names of all securityholder(s) shown on the securityholder documents)

Account Designation

Registered Address

(The actual address that is shown on the securityholder documents)

Securityholder Reference Number (SRN)

A

REQUEST FOR TRANSMISSION – SURVIVING JOINT HOLDER(S)

Please complete this form in BLACK INK using capital letters. Photocopies will not be accepted.

1. Register of Surviving Holders

Title and Full Name of Surviving Holder 1

Title and Full Name of Surviving Holder 2

2. Account Designation (if applicable)

3. Address to be recorded on the Register. Only one address can be recorded.

Unit Number/Level

Street Number

Street Name

Suburb/Town

State

Post Code

I/We am/are the surviving holder(s) of a joint holding of the securities described above.

The securities are held jointly with the deceased. I/we request you register me/us as the holder(s) of the securities and agree to hold them under the same terms and conditions as previously held.

Proof of death must be provided (originally certified copy of death certificate, probate etc).

4. Contact Name

Telephone Number (Business Hours)

Telephone Number (After Hours)

B

SIGNATURE(S) OF SURVIVING HOLDER(S) – THIS MUST BE COMPLETED

Surviving holder 1

Surviving holder 2

Date

HOW TO COMPLETE THIS FORM

A

Request for transmission – Surviving Joint Holder(s)

Enter the following in the spaces provided:

1. The full name(s) of the surviving holder(s).
2. Account Designation to be added if applicable.
3. The address details to be entered on the Register. This should be the address for the delivery of all future correspondence.
4. A contact name and telephone number of a person in the event that the Registry has a query regarding this form.

Important notice: If the holding is a broker sponsored holding in CHESS, do not send this completed form to MUFG Corporate Markets. You must contact the sponsoring broker to lodge a Request to Register Surviving Holder(s) form.

B

Signature – all surviving holder(s) must sign

Personal Information Collection Notification Statement: MUFG Pension & Market Services ("MPMS") advises that your personal information is collected by MPMS organisations for the administration of your investment as required or permitted by the *Corporations Act 2001* (Cth) and other legislation. Some or all of your personal information may be disclosed to contracted third parties, or related MPMS companies in Australia and overseas. Your information may also be disclosed to Australian government agencies, law enforcement agencies and regulators, or as required under other Australian law, contract, and court or tribunal order. For further details about our personal information handling practices, including how you may access and correct your personal information and raise privacy concerns, visit our website at <https://www.mpms.mufig.com> for a copy of the MPMS privacy policy, or contact us by phone on +61 1300 554 474 to request a copy.

Deceased Estate Checklist

Individual Account: Please complete the relevant scenario 1/2/3/4

Scenario 1: Probate (Australian or Reseal of Foreign Probate)

Australian Probate or Reseal of Foreign Probate – Wet ink certified copy
121A certificate for probate granted in South Australia – Wet ink certified copy
ID documents of executor/administrator
Section 1071B Statement
Transmission form **OR** Transfer form **OR** Share Sale form (inc. AML form)

Scenario 2: Will (only if holdings are each below threshold*)

Exception: foreign probate can be accepted in lieu of death cert and will

Death Cert – Wet ink certified copy
Will – Wet ink certified copy
ID docs of executor/administrator
Small Estate Statement & Indemnity Form
Transmission form **OR** Transfer form **OR** Share Sale form (inc. AML form)

Scenario 3: Intestate (any holdings above threshold*)

Letters of Administration (LoA) – Wet ink certified copy
121A certificate for LoA granted in South Australia – Wet ink certified copy
ID docs of executor/administrator
Section 1071B Statement
Transmission form **OR** Transfer form **OR** Share Sale form (inc. AML form)

Scenario 4: Intestate (only if holdings are each below threshold*)

Death Cert – Wet ink certified copy
ID docs of executor/administrator
Statutory Declaration & Indemnity Next of Kin Form
Small Estate Statement & Indemnity Form
Transmission form **OR** Transfer form **OR** Share Sale form (inc. AML form)

Issuer	*Threshold
Standard Issuers	\$15,000
WBC - Westpac Banking Corporation	\$25,000
DNL – Dyno Nobel Limited	\$30,000
BEN – Bendigo & Adelaide Bank Limited	\$50,000
WOW - Woolworths Group Limited	\$50,000
CBA - Commonwealth Bank of Australia	\$75,000
NST - Northern Star Resources Limited	\$75,000

Joint Account: Please complete the relevant scenario A/B

Scenario A: All account holders are deceased

Last surviving joint holder: Please refer to **Individual Account** and complete the relevant scenario 1/2/3/4
All other deceased joint holders: Death Cert – Wet ink certified copy

Scenario B: Joint Account – reissue to surviving joint holder

Death Cert – Wet ink certified copy
Enduring Power of Attorney – Wet ink certified copy (if applicable)
ID documents of joint survivor – Only if intent is to sell the shares
Transmission form **OR** Share Sale form (inc. AML form)